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NOTICE OF ALLOWANCE AND FEE(S) DUE

27799

7590

10/30/2009

COHEN, PONTANI, LIEBERMAN & PAVANE LLP 551 FIFTH AVENUE SUITE 1210 NEW YORK, NY 10176 EXAMINER

AULAKH, CHARANJIT

ART UNIT

PAPER NUMBER

1625

DATE MAILED: 10/30/2009

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/519,388	03/17/2005	Helmut Schmidhammer	1739-10PUS	2841

TITLE OF INVENTION: MORPHINAN DERIVATIVES THE QUATERNARY AMMONIUM SALTS THEREOF SUBSTITUTED IN POSITION 14,

METHOD FOR PRODUCTION AND USE THEREOF

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$0	\$1400	\$1510	02/01/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS; This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where ap in m

appropriate. All further indicated unless correcte maintenance fee notifica	correspondence includir ed below or directed oth	ng the Patent, advance on nerwise in Block 1, by (a	rders and notification of ra a) specifying a new corres	naintenance fees wi pondence address;	Il be mailed to the curren and/or (b) indicating a sep	it correspondence address as parate "FEE ADDRESS" for	
CURRENT CORRESPOND	Feet	(c) Transmittal This	certificate cannot be used	For domestic mailings of the for any other accompanying tent or formal drawing, must			
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COHEN, PONTANI, LIEBERMAN & PAVANE 551 FIFTH AVENUE SUITE 1210			LLP I he Stat addi tran	reby certify that this es Postal Service wi ressed to the Mail smitted to the USPT	s Fee(s) Transmittal is beir th sufficient postage for fi Stop ISSUE FEE address O (571) 273-2885, on the	ng deposited with the United rst class mail in an envelope s above, or being facsimile date indicated below.	
NEW YORK, N	Y 10176					(Depositor's name)	
						(Signature)	
						(Date)	
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR		ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/519,388	03/17/2005	•	Helmut Schmidhammer		1739-10PUS	2841	
TITLE OF INVENTION METHOD FOR PRODU		•	ERNARY AMMONIUM	SALTS THEREOF	SUBSTITUTED IN POS	ITION 14,	
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE	FEE TOTAL FEE(S) DUI	E DATE DUE	
nonprovisional	NO	\$1510	\$0	\$1400	\$1510	02/01/2010	
EXAM	IINER	ART UNIT	CLASS-SUBCLASS				
AULAKH, C	CHARANJIT	1625	514-282000				
 Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. 			(2) the name of a single registered attorney or a	es of up to 3 registered patent attorneys t, alternatively, e of a single firm (having as a member a torney or agent) and the names of up to patent attorneys or agents. If no name is			
PLEASE NOTE: Unl recordation as set fort (A) NAME OF ASSIG	less an assignee is ident h in 37 CFR 3.11. Comp GNEE	ified below, no assignee pletion of this form is NO	T a substitute for filing an (B) RESIDENCE: (CITY	atent. If an assigne assignment. and STATE OR Co	DUNTRY)	document has been filed for	
Please check the appropr	iate assignee category or	categories (will not be pr	rinted on the patent): \Box	Individual 🖵 Co	poration or other private g	roup entity 🔲 Government	
4a. The following fee(s) are submitted: ☐ Issue Fee ☐ Publication Fee (No small entity discount permitted) ☐ Advance Order - # of Copies			4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) A check is enclosed. Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).				
5. Change in Entity Sta	*	· · · · · · · · · · · · · · · · · · ·					
**	s SMALL ENTITY state d Publication Fee (if rea				L ENTITY status. See 37 (CFR 1.27(g)(2). the assignee or other party in	
interest as shown by the	records of the United Sta	ites Patent and Trademark	Office.	ne applicant, a regis	ered autorney of agent, or	ne assignee of other party in	
Authorized Signature				Date			
Typed or printed name				Registration No	D		
an application. Confiden submitting the completed this form and/or suggesti	tiality is governed by 35 d application form to the ions for reducing this bu. Virginia 22313-1450. DC	U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to th	1.14. This collection is est depending upon the indivite Chief Information Office	imated to take 12 m ridual case. Any cor er. U.S. Patent and 1	ninutes to complete, including nments on the amount of t Trademark Office, U.S. De	nd by the USPTO to process) ing gathering, preparing, and ime you require to complete partment of Commerce, P.O. r for Patents, P.O. Box 1450,	

PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.

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10/519,388	03/17/2005	Helmut Schmidhammer	1739-10PUS	2841	
27799 75	590 10/30/2009		EXAM	INER	
COHEN, PONTANI, LIEBERMAN & PAVANE LLP 551 FIFTH AVENUE SUITE 1210 NEW YORK, NY 10176			AULAKH, CHARANJIT		
			ART UNIT	PAPER NUMBER	
			1625		
ILW TOKK, IVI	10170		DATE MAILED: 10/30/200	9	

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

	Application No.	Applicant(s)				
	10/519,388	SCHMIDHAMMER ET AL.				
Notice of Allowability	Examiner	Art Unit				
	Charanjit S. Aulakh	1625				
The MAILING DATE of this communication app All claims being allowable, PROSECUTION ON THE MERITS IS	ears on the cover sheet wits (OR REMAINS) CLOSED in	th the correspondence address this application. If not included				
herewith (or previously mailed), a Notice of Allowance (PTOL-85 NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.31	RIGHTS. This application is s	nication will be mailed in due course. THIS ubject to withdrawal from issue at the initiative				
1. X This communication is responsive to <u>Papers filed on Sep.</u>	18, 2009 and Oct. 22, 2009.					
2. The allowed claim(s) is/are 1-5 and 8-18.						
 Acknowledgment is made of a claim for foreign priority u All b) ☐ Some* c) ☐ None of the: 	nder 35 U.S.C. § 119(a)-(d) o	or (f).				
 Certified copies of the priority documents hav 	e been received.					
2. Certified copies of the priority documents have	• •					
Copies of the certified copies of the priority do	ocuments have been received	I in this national stage application from the				
International Bureau (PCT Rule 17.2(a)).						
* Certified copies not received:						
Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.						
4. A SUBSTITUTE OATH OR DECLARATION must be subn INFORMAL PATENT APPLICATION (PTO-152) which give						
5. CORRECTED DRAWINGS (as "replacement sheets") mu	st be submitted.					
(a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached						
1) 🗌 hereto or 2) 🔲 to Paper No./Mail Date	- •					
(b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date						
Identifying indicia such as the application number (see 37 CFR each sheet. Replacement sheet(s) should be labeled as such in						
6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.						
Attachment(s) 1. ☐ Notice of References Cited (PTO-892)	5. ☐ Notice of Inf	ormal Patent Application				
2. Notice of Draftperson's Patent Drawing Review (PTO-948)		ummary (PTO-413),				
3. ☐ Information Disclosure Statements (PTO/SB/08),	Paper No./ 7. ⊠ Examiner's	Mail Date Amendment/Comment				
Paper No./Mail Date4. Examiner's Comment Regarding Requirement for Deposit	8. 🗌 Examiner's	Statement of Reasons for Allowance				
of Biological Material	9. 🗌 Other					
/Charanjit S. Aulakh/						
Primary Examiner, Art Unit 1625						

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DETAILED ACTION

1. According to paper filed on Sep. 18, 2009, the applicants have filed a RCE; canceled claims 6 and 7, amended claims 1, 2 and 5 and furthermore, have added new claim 18.

- 2. In a supplemental amendment filed on Oct. 22, 2009, the applicants have amended claims 2 and 18.
- 3. Claims 1-5 and 8-18 are now pending in the application.
- 4. Claims 1-5 and 8-18, renumbered as claims 1-16, are allowed since the applicants have amended claims to overcome all rejections and furthermore, due to their persuasive arguments regarding support for the value of variable R2 as C8-C16-arylalkyl in the specification.
- 5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Charanjit S. Aulakh whose telephone number is (571)272-0678. The examiner can normally be reached on Monday through Friday, 8:30 A.M. to 5:00 P.M..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Janet Andres can be reached on (571)272-0867. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Art Unit: 1625

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Charanjit S. Aulakh/ Primary Examiner, Art Unit 1625